INDEX

NOTE.—The Senate Internal Security Subcommittee attaches no significance to the mere fact of the appearance of the name of an individual or an organization in this index.

A

Page

Appropriations Committee 3853, 3877
Armed Services Committee 3836
Armstrong, J. Sinclair, chairman, Securities and Exchange Commission, statement of 3824–3898
Atomic Energy Commission 3884
Attorney General 3851, 3852
Axe-Houghton 3878

B

Becker, William E., Director of Personnel, SEC 3823
Bratter, Mr 3882
Brookings Institution 3832, 3934
Bureau of the Budget 3853
Bureau of Standards 3884
Burnham & Co. (New York brokerage house) 3864, 3882
Business Records Act (Ontario) 3844

C

Canada 3835, 3836, 3838, 3847, 3865
Canadian/s 3833, 3838, 3851, 3863
Canadian restricted list (exhibit No. 454) 3827, 3828
Canary Islands 3864
Capehart, Senator 3839, 3840
Central Public Utility Co. (St. Louis) 3864, 3865, 3881
Chicago 3850
Chicago regional administrator 3850
CIA 3871
Civil Service Commission 3879
Colorado Plateau 3849
Commerce, Department of 3834, 3835, 3884
Communist 3829
Congress 3824, 3825, 3836–3838, 3853, 3863, 3871, 3877
Current report—Form 8–K (exhibit No. 458) 3856–3862, 3867

D

Defense, Department of 3837, 3871, 3884
Denver 3850
DuBois, Orval L., Secretary, SEC 3834
Dutch 3881, 3883

E

Eastland, Senator James O 3819
Edersheim, Mr 3882
England 3869
Equity Corp 3882
Europe 3829, 3835, 3836
Executive Order 10450 (April 27, 1953) 3879, 3880
Exhibit No. 453—Letter, February 12, 1957, to J. Sinclair Armstrong from James O. Eastland 3819–3820
Exhibit No. 453–A—Letter, April 2, 1957, to James O. Eastland from J. Sinclair Armstrong 3820–3823
Exhibit No. 454—Enforcement problems with respect to Canadian securities 3825–3828

Page
Exhibit No. 455—Records of nonresident brokers and dealers, text of rule X–17A–7 3833–3834
Exhibit No. 456—Summary of transactions in domestic corporate stocks by foreigners, 1955 and 1956 3835
Exhibit No. 457—Value of foreign investments in domestic corporate stock, excluding holdings of United States citizens resident abroad, 1954–55 3836
Exhibit No. 458—Current report, form 8–K 3856–3862
Exhibit No. 458—Manipulation and stabilization 3875–3876
Exhibit No. 460—Growth of investment company assets 3878–3879
Exhibit No. 461—Foreword to 22d Annual Report of the Securities and Exchange Commission 3884–3889
Exhibit No. 461–A—Enforcement program 3890–3893
Exhibit No. 461–B—Personnel and fiscal 3894–3895
Exhibit No. 461–C—Section of securities violations 3896
Exhibit No. 462—Comparative tables of certain enforcement actions 3896

F

Fairbanks-Morse 3837
Fascist 3829
Federal Bureau of Investigation 3871
Federal Reserve Board 3831
Federal Trade Commission 3884
Fulbright bill (S. 1168, 85th Cong., 1st sess.) 3863

G

Garcia, Roy C 3819, 3855
Garrett, Ray, Jr., Director, Division of Corporate Regulation, SEC 3864, 3872, 3881, 3883

H

Haiti 3864
Hastings, Earl F., Commissioner, SEC 3823
Heller, Harry, Assistant Director, Division of Corporation Finance, SEC 3824, 3837, 3839, 3843–3845, 3855, 3874, 3875
Holding Company Act. (*See* Public Utility Holding Company Act.)
Holland 3869
House Appropriations Committee 3853
Hruska, Senator Roman L 3819, 3855
Humphrey, Hon. George M. (Secretary of the Treasury) 3845

I

Internal Revenue Code 3840
Investment Company Act of 1940 3875

J

Jenner, Senator William E 3819
Justice, Department of 3884
Justice Department, Criminal Division 3852

L

Latin America 3835, 3836
Ledes, Mr., legal assistant to Commissioner Sargent 3823

Letter, February 12, 1957, to J. Sinclair Armstrong from James O. Eastland (exhibit No. 453) 3819–3820
Letter, April 2, 1957, to James O. Eastland from J. Sinclair Armstrong (exhibit No. 453–A) 3820–3823
Letter, April 17, 1957, to James O. Eastland from J. Sinclair Armstrong re classified material handled by the Commission 3880–3881
Liberia 3846
Loomis, Philip A., Jr., Director, Division of Trading and Exchanges, SEC 3823, 3837, 3840–3842, 3844–3847, 3865, 3866

M

Mandel, Benjamin 3855
Meeker, Thomas G., General Counsel, SEC 3823, 3844, 3897, 3898
Statement of 3897
Mexico 3847

Page

Moran, William D., assistant regional administrator, New York, SEC---- 3823
Morgenthau, Secretary---- 3845
Morris, Robert---- 3819

N

Nazi---- 3829
Netherlands Exchange---- 3883
New Jersey---- 3846
New York---- 3846–3848, 3864
New York, eastern district of---- 3852
New York Stock Exchange---- 3832, 3834, 3841, 3847, 3874, 3875
New York Times---- 3864, 3871, 3881, 3882
N. V. Bankierskantoor van Mendes Gans & Co. (Amsterdam)---- 3864, 3883

O

Orrick, A. Downey, Commissioner, SEC---- 3823

P

Patterson, Harold C., Commissioner, SEC---- 3823
Phelan, James T., legal assistant to Commissioner Hastings---- 3823
Philippines---- 3864
Pollack, Irving M., Assistant General Counsel, SEC---- 2823, 3844, 3851
President's budget, the---- 3853
Public Utility Holding Company Act of 1935---- 3864, 3872, 3881–3883
Puerto Rico---- 3864

R

Records of nonresident brokers and dealers, text of rule X–17A–7 (exhibit No. 455)---- 3833–3834
Rusher, William A---- 3819, 3855

S

Salt Lake City---- 3850
Sargent, James C., Commissioner, SEC---- 3823
Scheidenhelm, A. K., Executive Director, SEC---- 3881
Securities Act of 1933---- 3820, 3821, 3830, 3855, 3856, 3862, 3868, 3877
Securities Exchange Act of 1934---- 3820, 3821, 3829–3834, 3837, 3839, 3841, 3842, 3845, 3850, 3855, 3856, 3863, 3864, 3866, 3875, 3877
Securities and Exchange Commission (SEC)---- 3823–3825, 3829–3834, 3836, 3838–3840, 3842, 3843, 3850–3853, 3855, 3856, 3863–3867, 3871–3873, 3875, 3877, 3879, 3880, 3882, 3883, 3898.
  Assistant executive director---- 3824
  Commissioners---- 3823
  Division of Corporate Regulation---- 3864, 3881
  Division of Corporation Finance---- 3824, 3837, 3855
  Division of Trading and Exchanges---- 3823, 3837
  Executive Director of---- 3881
  General Counsel---- 3823, 3897
  Legal assistants to Commissioners---- 3823
  New York regional office---- 3823, 3844, 3851, 3863, 3874, 3875
  Personnel Director of---- 3823
  Secretary of---- 3834
  Classified material handled by---- 3880, 3881
  Positions designated as "sensitive"---- 3879, 3880
Securities and Exchange Commission, 22d Annual Report of:
  Charts (exhibit No. 461)---- 3886–3889
  Comparative tables of certain enforcement actions (exhibit No. 462)---- 3896
  Enforcement problems with respect to Canadian securities (exhibit No. 454)---- 3825–3828
  Enforcement program (exhibit No. 461–A)---- 3890–3893
  Foreword (exhibit No. 461)---- 3884–3889
  Growth of investment company assets (exhibit No. 460)---- 3878–3879
  Manipulation and stabilization (exhibit No. 459)---- 3875–3876
  Personnel and fiscal (exhibit No. 461–B)---- 3894–3895
  Section of securities violations (exhibit No. 461–C)---- 3896

Page
Senate Banking and Currency Committee 3863
Senate Resolution 22 (85th Cong., 1st sess.) 3836
State, Department of 3871, 3884
Statement of Thomas G. Meeker, General Counsel, SEC, with respect to the obtaining of information from foreign sources 3897
St. Louis 3864
Subcommittee on Security of the Committee on Banking and Currency (U. S. Senate) 3838
Summary of transactions in domestic corporate stocks by foreigners, 1955 and 1956 (exhibit No. 456) 3835
Survey of current business (August 1956) 3835
Swiss 3819, 3829–3831, 3838, 3839, 3845, 3848, 3850, 3851, 3863, 3866–3869, 3871–3875, 3897
Switzerland 3820, 3829, 3835, 3836, 3844–3846, 3869, 3897, 3898

T

Treasury Department 3829, 3834, 3835, 3845, 3871, 3884

U

United Kingdom 3836
Upham, F. Bourne, Assistant Executive Director, SEC 3824
Uriell, Frank G., Executive Assistant, SEC 3823, 3879, 3880
Uruguay 3898

V

Value of foreign investments in domestic corporate stock, excluding holdings of United States citizens resident abroad, year ends 1954 and 1955 (exhibit No. 457) 3836
Venezuela 3847

W

Windels, Paul, Jr., Administrator, New York regional office, SEC 3823, 3845–3852
Woodside, Byron D., Director, Division of Corporation Finance, SEC 3855, 3864–3875, 3883, 3884

Y

Youngblood, Charles, legal assistant to Commissioner Patterson 3823

○